Large scale tight-binding methods: hBN encapsulated multilayer graphene

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Kite Team

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- M. Andelkovic, B. Jorissen, E. Aerts (U. Antwerp)
- T. Rappoport (U.F. Rio de Janeiro)
- A. Ferreira (Univ. York, UK)

Theory graphene/hBN (U. Antwerp)

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Graphene, hBN samples, supermoire experiments

group of K. Novoselov (Manchester)

group of K. Wantanabe, T. Taniguchi (Tsukuba)

Funding:

Pybinding Team (U. Antwerp)

- D. Moldovan, M. Andelkovic
- B. Jorissen, R. Smeyers, E. Aerts

Outline

1) Large scale tight-binding simulations with Pybinding and Quantum-Kite

- Computational methodology: spectral expansions
- Details of the codes and functionalities

2) Supermoiré in hBN-graphene-hBN

• Tuning the sub-band structure with a double moiré potential

3) Flat bands in hBN encapsulated multilayer graphene

• Tuning the band flatness in Bernal bilayer graphene by gating

Quantum Kite and Pybinding software

H **Modelling length scales and methods**

 $\hat{H}_{\rm TB} = \sum_{(i,j,\alpha_i,\beta_j)} t_{\alpha i,\beta j} \, |\alpha_i,i\rangle \langle \beta_j,j|$

Scaling challenge

"Nano": $L = 1-100$ nm

length scales

real-size 2D flakes $L = 1-100 \mu m$

Additional challenge

honeycomb layers

complex structure

hybrid materials

large unit cell

Real space computational tools (Pybinding + KITE)

https://quantum-kite.com

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- real variable (e.g., energy) - x is defined on a finite interval

Boyd's Moral principle:

(i) When in doubt, use Chebyshev polynomials

(ii) Unless you are sure another set of basis function is better, use Chebyshev polynomials

(iii) Unless you are really, really sure another set of basis function is better, use Chebyshev polynomials

basis set?

Chebyshev and Fourier Spectral Methods Second Edition (Revised) John P. Boyd

Chebyshev based methods

80s: TalEzer, Kosloff, … • Chebyshev expansion of evolution operator 90s: Wang, Silver, Roder, … • Kernel polynomial method (KPM) • Spectral densities • Zero-temperature dynamical correlations 2000 - now: Roche, Mayou, Weisse, Chapelier, Yuan, … • Finite-temperature dynamical correlations • Electronic transport (wave packet propagation) • Full spectral methods Ferreira et al., PRB 83 165402 (2011) • KPM-based moments ("full spectral") • XX conductivity graphene Garcia, Covaci & Rappoport, PRL 114 116602 (2015) • Kubo-Bastin approach ("full spectral") • DC XX and XY conductivity at finite temperature Ferreira & Mucciolo, PRL 115, 106601 (2015) • Chebyshev Polynomial Green's Function (CPGF) • Single-shot algorithm (3.6 billion atoms)

Green's function in frequency space (KPM)

$$
G_{ij}(\omega + i\eta) = \langle i|\frac{1}{\omega + i\eta - \mathcal{H}}|j\rangle
$$

 \overline{a}

Chebyshev expansion of the Green's function

$$
G_{ij}(\omega) = \frac{-i}{\sqrt{1 - \omega^2}} \left[\mu_0 + 2 \sum_{n=1}^{\infty} \mu_n e^{-in \ arccos(\omega)} \right]
$$

$$
\mu_n = \langle i \mid T_n(\mathcal{H}) \mid j \rangle
$$

$$
T_n(x) = \cos[n \arccos(x)]
$$

$$
T_{n+1}(x) = 2xT_n(x) - T_{n-1}(x)
$$

Recursive procedure:

define:
$$
|j_n\rangle
$$
 = $T_n(H) |j\rangle$
\n $|j_0\rangle$ = $|j\rangle$
\n $|j_1\rangle$ = $H |j\rangle$
\n $|j_{n+1}\rangle$ = $2H |j_n\rangle$ - $|j_{n-1}\rangle$
\nSparse matrix-vector

LDOS at site i:

DOS:

$$
\nu_i(\omega) = -\frac{1}{\pi} Im[G_{ii}(\omega + i\delta)]
$$

$$
\nu(\omega) = -\frac{1}{\pi D} \sum_{i} Im[G_{ii}(\omega + i\delta)]
$$

$$
\mu_n = \frac{1}{D} Tr[T_n \mathcal{H}]
$$

11 A. Weisse et al., Rev. Mod. Phys. 78, 275 (2006)

Chebyshev Polynomial Green's Function (CPGF)

- Truncate the expansion \rightarrow Gibbs oscillations \rightarrow Kernel polynomial method (KPM)
- Jackson kernel ~ 1/M, Lorentz kernel ~ λ /M-Use CPGF instead!

$$
\hat{\mathcal{G}}(\omega + i\eta) = (\omega + i\eta - \mathcal{H})^{-1} = \frac{1}{i} \int_0^\infty e^{i(\omega + i\eta)t} e^{-i\mathcal{H}t} dt
$$

using
$$
e^{-ixt} = \sum_{n=0}^{\infty} \frac{2i^{-n}}{1 + \delta_{0,n}} J_n(t) T_n(x)
$$

$$
\int_0^{\infty} dt e^{-st} J_n(t) = \frac{(\sqrt{1+s^2} - s)^n}{\sqrt{1+s^2}}
$$

$$
\hat{\mathcal{G}}(\omega+i\eta)=\sum_{n=0}^{\infty}g_n(\omega+i\eta)T_n(\mathcal{H})\hspace{1cm}g_n(z)=\frac{2i^{-1}}{1+\delta_{0,n}}\frac{(z-i\sqrt{1-z^2})^n}{\sqrt{1-z^2}}
$$

12 A Ferreira, E.R. Mucciolo, Phys Rev Lett 115, 106601 (2015)

CPGF compared with KPM (Lorentz kernel)

- KPM (Lorentz) does not converge to the correct function
- CPGF converges for all energies

- 60k x 60k graphene
- 0.4% vacancies
- DOS at Diract point
- KPM (Lorentz) for 1meV

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13 S.M. João, M. Anđelković, L. Covaci, T.G. Rappoport, J. Lopes, A. Ferreira, Royal Society open science 7 (2), 191809 (2020)

Two particle Green's function: optical conductivity

$$
\Re \sigma_{xx}(\omega) = \frac{\pi}{\omega \Omega} \int_{-\infty}^{\infty} d\epsilon \left[f(\epsilon) - f(\epsilon + \omega) \right] \text{Tr} \langle \hat{J}_x \hat{A}(\epsilon) \hat{J}_x \hat{A}(\epsilon + \hbar \omega) \rangle
$$

$$
\hat{J}_x = -\frac{i\epsilon}{\hbar} [\hat{x}, \hat{H}] \qquad \hat{A}(\epsilon) = -\frac{1}{\pi} \Im \hat{G}(\epsilon + i\eta)
$$

• after performing the Chebyshev expansions (CPGF)

$$
\Re \sigma_x x(\omega) = \frac{\pi}{\omega \Omega} \sum_{nm=0}^{N-1} \mu_{nm} A_{nm}(\mu, T, \omega)
$$

$$
\mu_{nm} = \text{Tr}\langle \hat{J}_x \, T_n(\hat{\mathcal{H}}) \, \hat{J}_x \, T_m(\hat{\mathcal{H}}) \rangle \qquad \text{2D expansion!}
$$
\n
$$
A_{nm}(\omega, \mu, T) = \frac{\pi^2}{W^2} \int_{-\infty}^{\infty} [f(\epsilon) - f(\epsilon + \omega)] a_n(\epsilon) a_m(\epsilon + \omega)
$$

T. P. Cysne, T. G. Rappoport, A. Ferreira, J. M. Viana Parente Lopes, N. M. R. Peres, Phys Rev B 94, 235405 (2016)

A. Weisse et al., Rev. Mod. Phys. 78, 275 (2006)

Stochastic evaluation of the trace

• the trace is performed by using statistical averages over R random vectors

$$
|r\rangle \equiv (\xi_1^r, \dots, \xi_N^r), \quad r = 1, \dots, R
$$

$$
\langle \langle \xi_i^r \rangle \rangle = 0, \quad \langle \langle \xi_i^{r*} \xi_j^{r'} \rangle \rangle = \delta_{r,r'} \delta_{i,j}
$$

$$
\text{Tr}\langle M\rangle\approx\frac{1}{R}\sum_{r=1}^R\langle r|M|r\rangle
$$

with error

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the 2D expansion can be approximated as:

$$
\mu_{nm} \approx \frac{1}{R} \sum_{r=1}^{R} \langle r | \hat{J}_x T_n(\hat{\mathcal{H}}) \hat{J}_x T_m(\hat{\mathcal{H}}) | r \rangle = \frac{1}{R} \sum_{r=1}^{R} \langle \bar{r}_n | r_m \rangle
$$

- make use of large amounts of memory to speed-up the simulation
- make use of self-averaging effects: use large systems to reduce R

High-performance quantum transport package developed in collaboration with **J. Lopes** (U. Porto), **T. Rappoport** (U.F. Rio de Janeiro) and **A. Ferreira** (U. York).

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Challenges

Accuracy: Green's functions are evaluated by means of **real-space polynomial expansions**, providing fine control over accuracy and energy resolution in pristine and disordered systems.

Scalability and speed: fast and highly-scalable state-of-the-art algorithms, capable of treating unprecedentedly large computational domains with multi billions of atomic orbitals in large RAM nodes. Highly efficient domain decomposition algorithms take advantage of CPU clusters.

Versatility: Generic equilibrium and out-of-equilibrium properties (e.g., local DOS and optical conductivity) of any system, from complex molecules to hetero-structures with large size unit cell. The **Pybinding interface** allows to easily define/import model parameters and select target functions/algorithms.

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17 http://quantum-kite.com

user interface

Pybinding

http://docs.pybinding.site/

Pybinding initially developed by **Dean Moldovan** at the **University of Antwerp**.

Features:

- straightforward definition of generic tight-binding model
- band structure calculation (exact diagonalization: lapack, arpack)
- periodic or finite size (nanoribbons, dots): DOS, LDOS (ED, KPM, CPGF)

- electric and magnetic fields
- defects and strains
- up to millions of orbitals (graphene, TMDs, input Wannier90, …)

Adding the disorder, onsite and structural:

Exporting the configuration:

domain partition $nl = n2 = 2$ # number of unit cells along each direction. $11 = 12 = 512$ # boundaries - PBC # is complex - complex Hamiltonian # precision - 0 float, 1 double, 2 long double $contiguration = kite.Configuration$ $divisions=[n1, n2]$, length= $[11, 12]$, boundaries=[True, True], is complex=False, precision=1) # request the calculation of DOS $calculation = kite.Calculation(configuration)$ calculation.dos(num points=4000, num moments=512, num random=5, num disorder=1) # configure the *.h5 file kite.config system(lattice, configuration. calculation, filename='example.h5', disorder=disorder)

disorder.add_disorder('A', 'Unitorm', +1.5, 1.0

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Adding the disorder, onsite and structural:

import kite

Making the lattice:

 $graphene(onsite=(0, 0))$: "Make a honeycomb lattice with nearest neighbor hopping

Parameters *<u><u> Andrew Andrew* </u></u> onsite : tuple or list Onsite energy at different sublattices

```
theta = np.pi / 3
t = 2.8 # eV
al = np.array([1 + np.cos(theta), np.sin(theta)])
a2 = np.array([0, 2 * np.sin(theta)])lat = pb.Lattice(a1=a1, a2=a2
```
lat.add sublattices(# name, position, and onsite potential

 $('A', [0, 0], \text{onsite}[0]),$ $('B', [1, 0], \text{onsite}[1])$

lat.add_hoppings(

 $([0, 0], 'A', 'B', -t),$ $([-1, 0], 'A', 'B', -t),$ $([-1, 1], 'A', 'B', -t)$

return lat

Twisted bilayer graphene

1.05 degs, N=12000, D=11908 x 640 x 512, Z=60

num polyn (N) x system size (D) x coordination number (Z) Numerical complexity depends on:

S.M. João, M. Anđelković, L. Covaci, T.G. Rappoport, J.M.V.P Lopes, A. Ferreira, Royal Society Open Science 7 (2), 191809 (2020)

Anomalous Quantum Hall + disorder

N=2048x2048, D= 4 x 8192 x 8192, Z=3

num polyn (N) x system size (D) x coordination number (Z) Numerical complexity depends on:

S.M. João, M. Anđelković, L. Covaci, T.G. Rappoport, J.M.V.P Lopes, A. Ferreira, Royal Society Open Science 7 (2), 191809 (2020)

Comparison between Pybinding and KITE

Supermoiré in hBN encapsulated graphene

Moiré: aligned graphene on hBN

- hBN is an atomically flat 2D insulator \rightarrow excellent for graphene devices
- Due to the small lattice mismatch between the two materials a moiré superlattice appears **(~13.9nm)**
- Moiré periodicity reconstructs the spectrum of graphene into minibands \rightarrow secondary Dirac points emerge at $\pm E_D$

 ${\bf L}_{i}^{\rm M} = (1 - R^{-1}M^{-1})^{-1}{\bf a}_{i}$ $(i = 1, 2)$ $M = (1 + \varepsilon)1$ $E_D = \pm 2\pi \hbar v_F/(\sqrt{3}\lambda)$

L. A. Ponomarenko *et al*., Nature 497, 594 (2013)

I-**Doubly aligned hBN - graphene - hBN**

• *Question*: What happens when encapsulated graphene is almost aligned with both hBN layers

Experimental alignment procedure (in-situ)

N. R. Finney *et al*., Nat. Nanotech. 14, 1029 (2019)

Polymer gel handle Polymer gel (PDMS) Graphene Epitaxial polymer (PMMA SiO_2/Si **hBN**

Y. Yang, …, A. Mischenko, Science Advances, 6, eabd3655 (2020)

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Z. Wang *et al*., Sci. Adv. 5, eaay8897 (2019)

Moire of moire \rightarrow **Supermoire Moiré of moiré** à **Supermoiré**

Experiments on doubly aligned systems show SDPs lower energies \rightarrow large periodicities

L. Wang *et al.*, Nano Lett. 19, 2371 (2019)
Z. Wang *et al.*, Sci. Adv. 5, eaay8897 (2019)
N. R. Finney *et al.*, Nat. Nanotech. 14, 1029 (2019)

Induced potential landscape

• Effective model for graphene on hBN **[1]**

 $f_{\pm}(\boldsymbol{r}) = \sum_{m=0}^{5} (\pm 1)^m e^{i \boldsymbol{b}_m \boldsymbol{r}} = \pm f_{\pm}(-\boldsymbol{r})$
 $\boldsymbol{b}_m = \frac{4\pi}{\sqrt{3}a} \hat{R}_{\pi m/3} \left[1 - (1+\delta)^{-1} \hat{R}_{\theta} \right] \begin{pmatrix} 0 \\ 1 \end{pmatrix}$

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We assume that bottom hBN is aligned with graphene ($\theta_1 = 0$) and the top hBN layer is rotated by angle θ_2

 $H = H_0 + \hbar v b U_1 f_+ (\mathbf{r}) + i \xi \hbar v b U_2 \sigma_z f_- (\mathbf{r}) + i \xi \hbar v U_3 \left(\sigma_y \partial f_- / \partial x - \sigma_x \partial f_- / \partial y \right)$

[1] J. R. Wallbank, Phys. Rev. B 87, 245408 (2013)

Geometrical consideration

• Reciprocal supermoiré vectors are given as the combination of reciprocal moiré vectors

$$
E_D = \pm 2\pi \hbar v_F / (\sqrt{3}\lambda)
$$

$$
\mathbf{b}^{\text{SM}} = \mathbf{b}^{\text{SM}}(i, j, k, l) = i \mathbf{b}_1^{M_1} + j \mathbf{b}_2^{M_1} - k \mathbf{b}_1^{M_2} - l \mathbf{b}_2^{M_2}
$$

\n
$$
\lambda_1^{SM} = \frac{a(1+\delta)}{\sqrt{2 - 2\cos(\theta_2)}}, \qquad \mathbf{b}_1^{SM} = \mathbf{b}^{SM}(0, 1, 0, -1),
$$

\n
$$
\lambda_2^{SM} = \frac{a(1+\delta)}{\sqrt{(2 - \delta)(1 - \cos(\theta_2)) + \delta^2 - \sqrt{3}\delta \sin(\theta_2)}}, \qquad \mathbf{b}_2^{SM} = \mathbf{b}^{SM}(0, 1, -1, -1),
$$

\n
$$
\lambda_3^{SM} = \frac{a(1+\delta)}{\sqrt{2 + 3\delta^2 - 2\cos(\theta_2) - 2\sqrt{3}\delta \sin(\theta_2)}}, \qquad \mathbf{b}_3^{SM} = \mathbf{b}^{SM}(0, 1, -2, 0),
$$

\n
$$
\lambda_4^{SM} = \frac{a(1+\delta)}{\sqrt{(2 + \delta)(1 - \cos(\theta_2)) + \delta^2 + \sqrt{3}\delta \sin(\theta_2)}}, \qquad \mathbf{b}_4^{SM} = \mathbf{b}^{SM}(1, 0, 0, -1),
$$

Electronic properties: tight-binding model

• We use tight-binding technique to calculate electronic properties of the hBN/graphene/hBN trilayer

$$
H = -\sum_{i,j} t(\mathbf{R}_i - \mathbf{R}_j) |\mathbf{R}_i\rangle\langle\mathbf{R}_j| + \sum_i V(\mathbf{R}_i) |\mathbf{R}_i\rangle\langle\mathbf{R}_i|
$$

$$
-t(\mathbf{R}) = V_{pp\pi} \left[1 - \left(\frac{\mathbf{R} \cdot \mathbf{e}_z}{R}\right)^2 \right] + V_{pp\sigma} \left(\frac{\mathbf{R} \cdot \mathbf{e}_z}{R}\right)^2
$$

$$
V_{pp\pi} = V_{pp\pi}^0 \exp\left(-\frac{R - a_0}{r_0}\right)
$$

$$
V_{pp\sigma} = V_{pp\sigma}^0 \exp\left(-\frac{R - d_0}{r_0}\right)
$$

Typical DOS calculation System: ~5M atoms Hamiltonian: ~200M non-zero elements

www.pybinding.site

www.quantum-kite.com

U **LDOS calculation for a finite system LDOS calculation for a finite system**

• We calculate LDOS for a point in the center of the unit cell in a disc ~150nm radius

Spectral function, DOS, and conductivity at 0.6° Spectral function, DOS, and conductivity at 0.6°

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- Signatures of SM are found in global quantities, as well
- Strong reconstruction of the low-energy band structure

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Z. Wang *et al*., Sci. Adv. 5, eaay8897 (2019)

Effects of relaxation (MD simulation)

- Relaxation changes the interatomic registry, which results in the appearance of significant gaps at the primary and secondary DP
- Bottom hBN layer is kept rigid to mimic the effect of a thick substrate, while graphene and top hBN are freely relaxed

Rotations by 60° IC

• Rotations with 60° result in oscillating features of resistance at PDP and SDP

N. R. Finney *et al*., Nat. Nanotech. 14, 1029 (2019)

Rotations by 60°(2 aligned moirés)

• Rotations with periods of 60° result in different stacking configurations

hBN encapsulated/aligned multilayer graphene

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SC and correlated states in ABC trilayer graphene on hBN

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B. L. ChiPari, G. Chen, Y. Zhang, F. Wang and J. Jung, *PRL* 122, 016401 (2019) G.Chen et al, Nature Physics 15, 237 (2019) G.Chen et al, Nature 572, 215 (2019)

$|+|$ **hBN supported (encapsulated) multilayer graphene**

Induced effective potentials \blacksquare

Single layer: $U_{eff}(\mathbf{r}) = vG(u_0f_1 + \tilde{u}_0f_2)\sigma_0 + \zeta vG(u_3f_1 + \tilde{u}_3f_2)\sigma_3$

 $+ \zeta v \left[\hat{\mathbf{z}} \times \nabla (u_1 f_2 + \tilde{u}_1 f_1) \right] . \sigma,$

Low energy sites in top and bottom layer

$$
\tilde{\mathcal{H}} = \mathcal{H}_{mult} + \begin{bmatrix} V_t(\mathbf{r}) + M_t(\mathbf{r}) & 0 \\ 0 & V_b(\mathbf{r}) - M_b(\mathbf{r}) \end{bmatrix}
$$

$$
V_{mult}(\mathbf{r}) = V_0 \sigma_0 + v_{mult} G(s_0 f_1 + \tilde{s}_0 f_2) \sigma_0
$$

$$
M_{mult}(\mathbf{r}) = M_0 \sigma_3 + v_{mult} G(s_3 f_1 + \tilde{s}_3 f_2) \sigma_3
$$

 $(f_1(\mathbf{r}), f_2(\mathbf{r})) = \sum (1, i(-1)^{m-1}) \exp(i\mathbf{G}_m \cdot \mathbf{r})$

Effect of potentials on bandstructure \mathbf{I}

• tight-binding simulation with independent contributions of effective potentials

Full atomistic TB simulation (encapsulated AB bilayer) \mathbf{L}

E=100mV/nm

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$\overline{\mathbf{G}}$ **Effective potentials across the moiré unit cell**

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Electric field dependence of AB1 configuration \overline{G}

H **Localization of the wavefunction in the moiré**

• Large scale tight-binding simulations are possible (KITE, Pybinding + other software)

- An additional aligned layer leads to a further reconstruction of the spectrum at all energies (esp. low energies) \rightarrow supermoiré of arbitrary wavelength
- Further enhancement of scattering through relaxation (imprinting), leads to the appearance of significant gaps at all DPs
- hBN encapsulated + aligned multilayer graphene can provide further knobs for tuning DP gaps
- Further gating can lead to flat moire bands

